**Instructor:** Gorjan Alagic (<u>galagic@umd.edu</u>); ATL 3102, office hours: by appointment **Textbook:** *Introduction to Modern Cryptography*, Katz and Lindell;

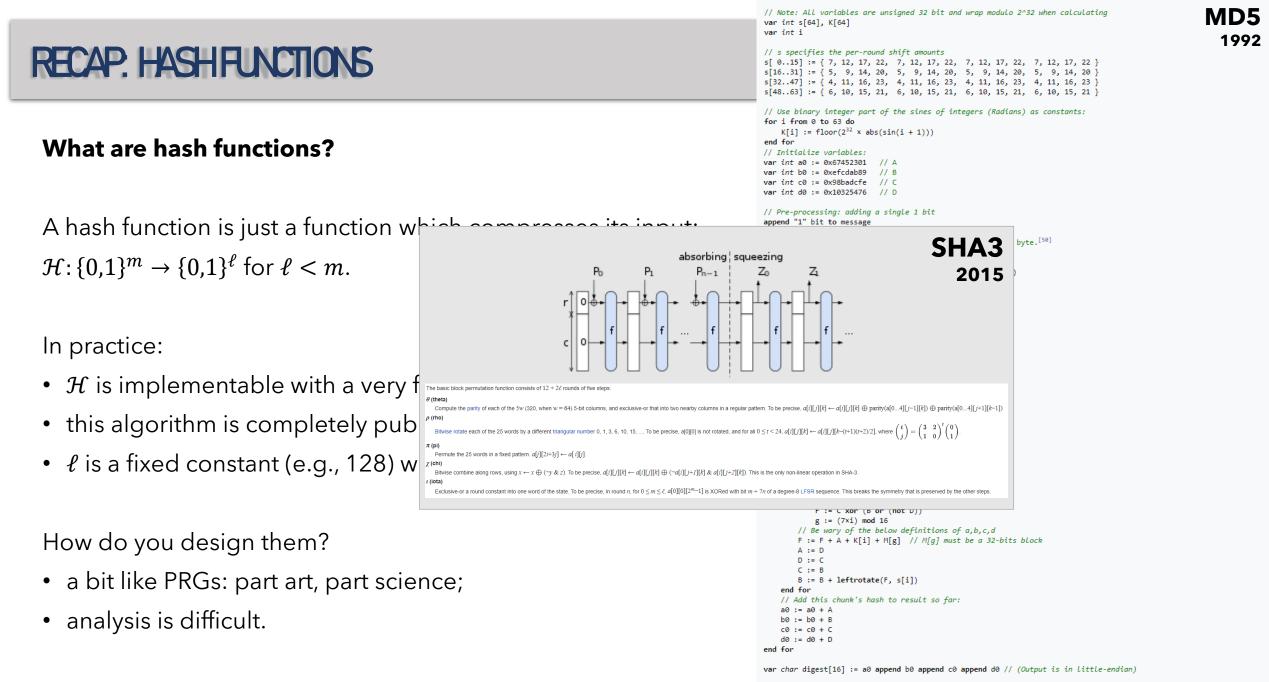
Webpage: <u>alagic.org/cmsc-456-cryptography-spring-2020/</u> (slides, reading posted here);
Piazza: piazza.com/umd/spring2020/cmsc456
ELMS: active, slides and reading posted there, homework 2 due midnight Thursday.
Gradescope: active, access through ELMS.

TAs (Our spot: shared open area across from AVW 4166)

- Elijah Grubb (egrubb@cs.umd.edu) 11am-12pm TuTh (AVW);
- Justin Hontz (jhontz@terpmail.umd.edu) 1pm-2pm MW (AVW);

#### Additional help:

- Chen Bai (cbai1@terpmail.umd.edu) 3:30-5:30pm Tu (2115 ATL inside JQI)
- Bibhusa Rawal (bibhusa@terpmail.umd.edu) 3:30-5:30pm Th (2115 ATL inside JQI)



// leftrotate function definition
leftrotate (x, c)
 return (x << c) binary or (x >> (32-c));

### RECAP. HASHFUNCTIONS

#### What are they good for?

They compress their input:  $\mathcal{H}: \{0,1\}^m \to \{0,1\}^{\ell}$  for  $\ell < m$ .

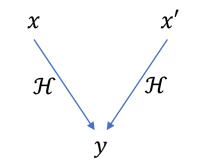
So obviously, some  $y \in \{0,1\}^{\ell}$  have a *lot* of preimages: at least  $2^{m-\ell}$ .

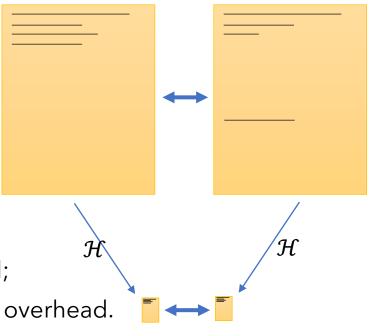
But, for a well-designed hash function:

- *h* seems to be 1-to-1;
- typically hard to find two inputs x, x' with the same **digest**  $\mathcal{H}(x)$ ;
- *typically* also hard: given a digest y, find an input x such that  $\mathcal{H}(x) = y$ .

This is why they are used, e.g., in **git**:

- files are not compared directly;
- instead, a hash (digest) of each file is stored, and the hashes are compared;
- this allows for all sorts of integrity checks without a massive computational overhead. They're also used, e.g., in **blockchains** (e.g., in Bitcoin) for similar reasons.





### RECAP. HASHFUNCTIONS, FORMALLY

#### We will think about keyed hash functions.

**Definition.** A hash function  $\mathcal{H}$  is a polynomial-time computable function family

 $\mathcal{H}{:}\,\{0,1\}^d\times\{0,1\}^*\to\{0,1\}^\ell$ 

equipped with a PPT algorithm **KeyGen** which, on input  $1^n$ , outputs a key  $s \in \{0,1\}^d$ .

We write  $\mathcal{H}^{s}(x) \coloneqq \mathcal{H}(s, x)$ .

#### How to use it?

Typically:

Why? In practice, anyone can look up hash function spec

- 1. Sample  $s \leftarrow \mathbf{KeyGen}(1^n)$ ;
- 2. Make *s* public to everyone;
- 3. Now anyone can evaluate  $\mathcal{H}^s$  on any string x and get the hash digest  $\mathcal{H}^s(x)$ .

### RECAP. COLLISION-RESISTANCE

#### What security properties do we want?

There are many. An important one: collision-resistance.

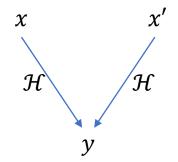
- as we saw, every hash function is necessarily *many-to-one*;
- but in a **good** hash function, it should be hard to find inputs with the same digest.

#### If this sounds impossible:

Think about a random function  $R: \{0,1\}^{2n} \rightarrow \{0,1\}^n$ 

- it's true that each  $y \in \{0,1\}^n$  has (roughly)  $2^n$  preimages;
- let  $X_y = \{x \in \{0,1\}^{2n} : \mathbf{R}(x) = y\}$  be the set of preimages of y;
- Note:  $X_y$  is a random subset of size  $2^n$  in a set of size  $2^{2n}$ ;
- In other words: for any z,  $\Pr_{R}[z \in X_{y}] \approx 2^{-n}$ .

So, there are indeed functions for which it's hard to find preimages and collisions. (Actually, in a certain sense, *most* functions have this property.)



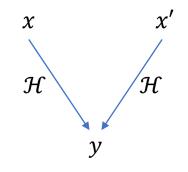
#### How to define?

As usual: with a game!

Let  $\Pi = (\text{KeyGen}, \mathcal{H})$  be a hash function, and A an algorithm. The game HashColl( $\Pi, A$ ) proceeds as follows:

- 1. Generate key:  $s \leftarrow KeyGen$ ;
- 2. A receives s and outputs  $x, x' \in \{0,1\}^*$ ;

We say **A** wins if  $\mathcal{H}^{s}(x) = \mathcal{H}^{s}(x')$  and  $x \neq x'$ .



**Definition.** A hash function  $\Pi = (KeyGen, \mathcal{H})$  is **collision-resistant** if, for every PPT adversary A,

 $\Pr[A \text{ wins HashColl}(\Pi, A)] \leq \operatorname{negl}(n).$ 

#### What is collision resistance good for?

Authentication!

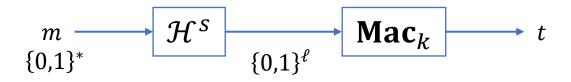
#### Construction (Hash-and-MAC). Let

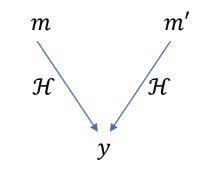
- $\Pi = (KeyGen, Mac)$  be a fixed-length message authentication code (MAC), and
- $\Pi_{\rm H} = (\text{KeyGen}_{\rm H}, \mathcal{H})$  be a hash function.

Define an arbitrary-length deterministic MAC  $\Pi' = (KeyGen', Mac')$  as follows:

- (key generation) **KeyGen**': on input  $1^n$ , outputs  $k' \leftarrow (KeyGen(1^n), KeyGen_H(1^n))$ .
- (tag generation) **Mac**': on key (k, s) and message m, outputs  $t := Mac_k(\mathcal{H}^s(m))$ .

#### In pictures:





#### Construction (Hash-and-MAC). Let

- $\Pi = (KeyGen, Mac)$  be a fixed-length message authentication code (MAC), and
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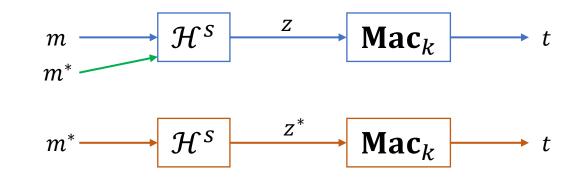
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- (tag generation) **Mac**': on key (k, s) and message m, outputs  $t := Mac_k(\mathcal{H}^s(m))$ .

**Theorem**. If  $\Pi$  is an EUF-CMA fixed-length MAC, and  $\Pi_{\rm H}$  is a collision-resistant hash function, then the Hash-and-MAC construction  $\Pi'$  is an EUF-CMA arbitrary-length MAC.

#### **Proof idea:**

If adversary forges on message  $m^*$  then either/or:

- 1.  $m^*$  is mapped to same z as some queried m: collision!
- 2.  $m^*$  is **not** mapped to same as any other: **forgery on**  $\Pi$ !



**Proof idea:** If forgery on  $m^*$  then either/or:

- 1.  $m^*$  is mapped to same z as some queried m: collision!
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#### **Recall EUF-CMA** and MacForge experiment.

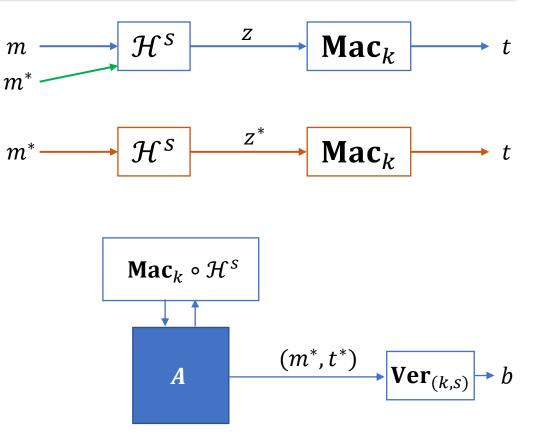
- let Q be the set of queries made by A, and  $(m^*, t^*)$  its output;
- let **E** be the green event:  $\exists m \in Q$  such that  $\mathcal{H}^{s}(m) = \mathcal{H}^{s}(m^{*})$ ;

Calculate:

 $\Pr[A \text{ wins MacForge}(\Pi')] =$ 

- =  $\Pr[A \text{ wins MacForge}(\Pi') \land E] + \Pr[A \text{ wins MacForge}(\Pi') \land \overline{E}]$
- $\leq \Pr[\mathbf{E}] + \Pr[A \text{ wins MacForge}(\Pi') \land \overline{\mathbf{E}}].$

We will show that both of these terms are negligible. How?



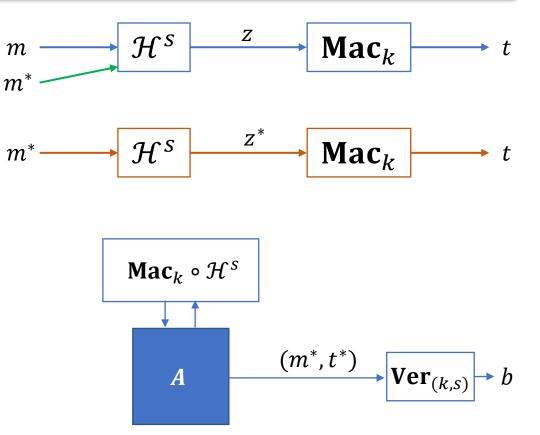
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- 1.  $m^*$  is mapped to same z as some queried m: collision!
- 2.  $m^*$  is **not** mapped to same as any other: forgery on  $\Pi!$

#### Controlling probability of E:

- *E* is the green event:  $\exists m \in Q$  such that  $\mathcal{H}^{s}(m) = \mathcal{H}^{s}(m^{*})$ ;
- want to show:  $\Pr[\mathbf{E}] \leq \operatorname{negl}(n)$ .
- how? Well, suppose it's not, and consider this algorithm:
- 1. Receive hash key *s* as input. Sample **Mac** key *k*;
- 2. Run *A* with oracle  $Mac_k \circ \mathcal{H}^s$ ;
- 3. Output  $m^*$  and a random  $m \in Q$ .

Check: the probability that this algorithm finds a collision in  $\mathcal{H}^s$  is at least  $\Pr[\mathbf{E}]/|Q|$ .



**Proof idea:** If forgery on  $m^*$  then either/or:

1.  $m^*$  is mapped to same z as some queried m: collision!

2.  $m^*$  is **not** mapped to same as any other: forgery on  $\Pi!$ 

#### What's left:

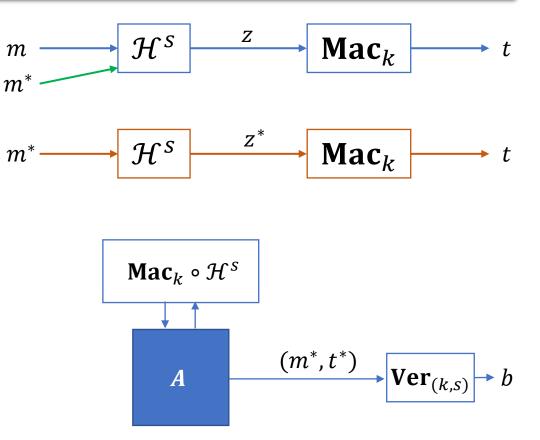
Control  $\Pr[A \text{ wins MacForge}(\Pi') \land \overline{E}]$ .

- what is this quantity?
- probability that **A** wins the forgery game...
- ... and for all queried  $m, \mathcal{H}^{s}(m) \neq \mathcal{H}^{s}(m^{*})$ .

#### Stated a bit differently:

- probability that **A** wins the forgery game...
- ... and for all inputs z to  $Mac_k$  oracle,  $z \neq z^* \coloneqq \mathcal{H}^s(m^*)$ .

Point: in this case, we should be able to win a MacForge game against  $\Pi!$ 



**Proof idea:** If forgery on  $m^*$  then either/or:

1.  $m^*$  is mapped to same z as some queried m: collision!

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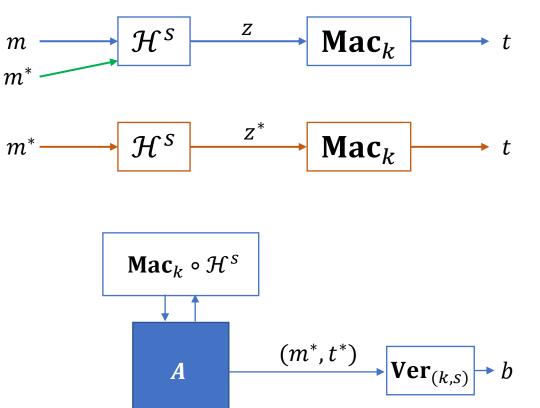
#### What's left:

Control  $\Pr[A \text{ wins MacForge}(\Pi') \land \overline{E}]$ . If it's large...

 $\dots$  then we should be able to win a MacForge game against  $\Pi$ ! Here's how:

- 1. Receive **Mac**<sub>k</sub> oracle. Sample hash key s;
- 2. When queried with  $m \in \{0,1\}^*$  ...
  - i. Hash it:  $z \coloneqq \mathcal{H}^{s}(m)$ ;
  - ii. MAC it (using oracle):  $t \coloneqq Mac_k(z)$ ; return t.
- 3. When **A** outputs  $m^*$ , output  $\mathcal{H}^s(m^*)$ .

**Check:** probability this wins MacForge versus  $\Pi$  is exactly  $\Pr[A \text{ wins MacForge}(\Pi') \land \overline{E}]$ .



**Proof idea:** If forgery on  $m^*$  then either/or:

- 1.  $m^*$  is mapped to same z as some queried m: collision!
- 2.  $m^*$  is **not** mapped to same as any other: **forgery on**  $\Pi$ !

#### **Recall EUF-CMA** and MacForge experiment.

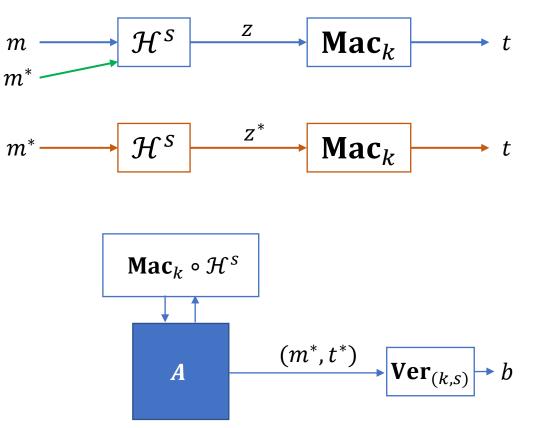
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#### Calculate:

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- $\leq \Pr[\mathbf{E}] + \Pr[A \text{ wins MacForge}(\Pi') \land \overline{\mathbf{E}}]$

 $\leq \operatorname{negl}(n) + \operatorname{negl}(n) \leq \operatorname{negl}(n).$ 



# HASHFUNCTIONS continued

**Reading:** (p.156-160, 174-181)

#### More on hash functions:

- 1. Keyed **vs** unkeyed;
- 2. Arbitrary-length inputs **vs** fixed-length inputs;
- 3. Hash functions as random oracles.

#### Keyed vs unkeyed hash functions.

I did something funny...

- I first cited some public hash functions (like MD5 and SHA3)...
- ... clearly, these hashes do not have a "key." They are fixed algorithms!
- but then I defined a hash function to have a key!
- and that key seems pretty critical!

This is pretty standard in cryptography. Why?

Why do we use keyed hash functions in formal reasoning?

# HASHFUNCTIONS, FORMALLY

#### Why keyed hash functions?

- There actually do exist keyed hash functions, and they are interesting! (maybe we will get to them later in the course.)
- 2. An annoying technicality:
- technically, an unkeyed hash is a completely fixed (and hence known) object.
- this means that, if we were to strictly follow our theoretical formalism...
- ... algorithms could have *hard-coded* properties of the hash: collisions, preimages, etc.

This is analogous to this juxtaposition:

Fix a boolean formula  $\varphi$  of size  $10^{200}$ . Is it NP-hard to determine if  $\varphi$  is satisfiable? **NO**. Is it NP-hard to determine, given an arbitrary formula  $\psi$  as input, if  $\psi$  is satisfiable? **YES**.

In spirit: a keyed hash models the fact that, in reality,

... nobody really "knows" anything about SHA3 except a few of its values!

#### More on hash functions:

1. Keyed **vs** unkeyed;

# 2. Arbitrary-length inputs **vs** fixed-length inputs;

3. Hash functions as random oracles.

# HASHFUNCTIONS: ARETRARY-LENGTHINPUTS

#### We've been assuming...

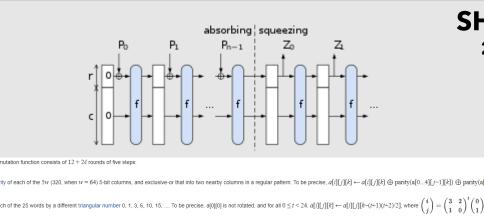
... hash functions can take in arbitrary strings. Pretty important for Hash-and-MAC!

- in practice, that doesn't come for free;
- we first construct a fixed-length hash, like this:

 $\mathcal{H}{:}\{0{,}1\}^{2n}\rightarrow \{0{,}1\}^n$ 

- maybe for a fixed n (e.g., n = 128)...
- ... and then apply a transformation that enables arbitrary-length inputs.

The simplest is the Merkle-Damgård transform.



SHA3

2015

# HASHFUNCTIONS: ARBITRARY-LENGTHINPUTS

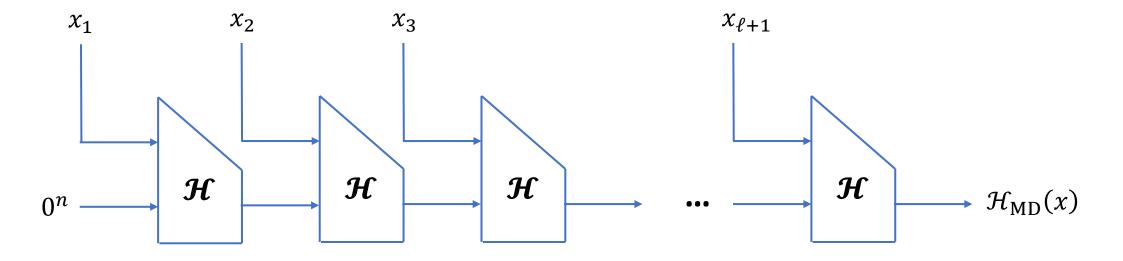
#### Merkle-Damgård transform

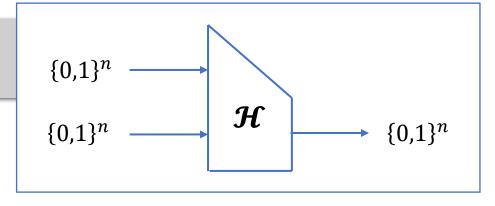
What does it do?

- transforms one algorithm into another;
- input algorithm: computes a fixed-length compression function (e.g.,  $\mathcal{H}: \{0,1\}^{2n} \rightarrow \{0,1\}^n$ );
- <u>output algorithm</u>: computes an arbitrary-input-length hash function  $\mathcal{H}_{MD}$ :  $\{0,1\}^* \rightarrow \{0,1\}^n$ ;

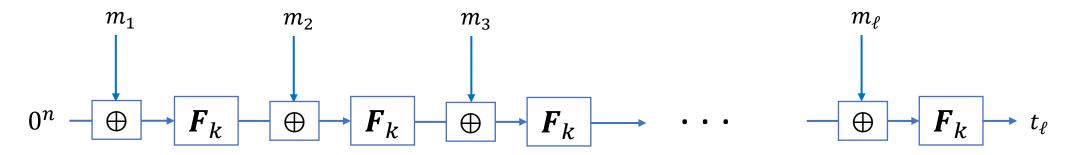
#### How does it work?

Split up input: 
$$x = (x_1, x_2, ..., x_\ell)$$
 so each  $x_i \in \{0,1\}^n$ . Set  $x_{\ell+1} \coloneqq |x|$ .

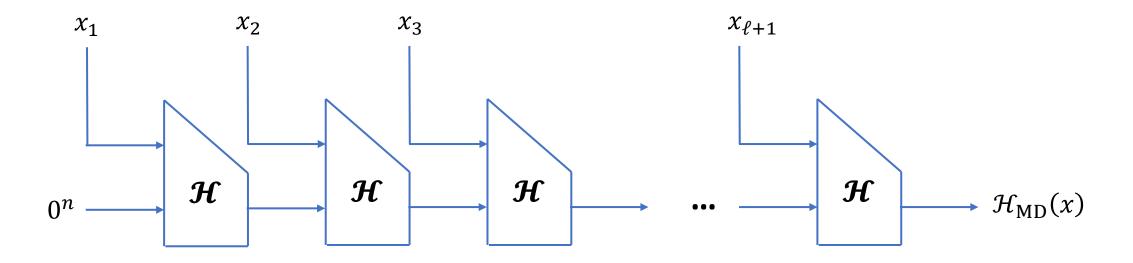




**Compare: CBC-MAC** 



#### **Compare: Merkle-Damgård transform**

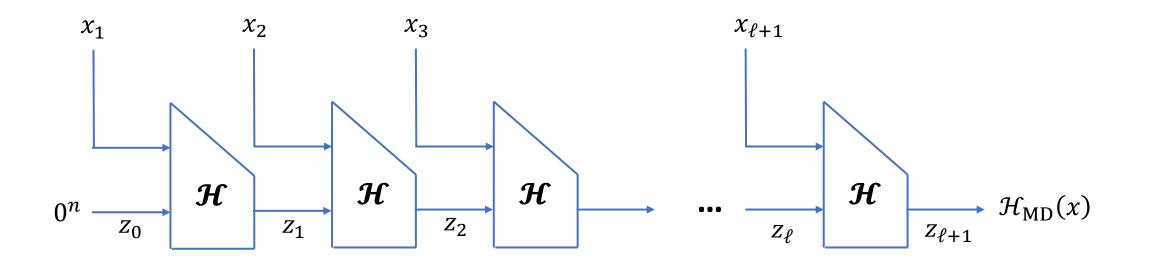


# Merkle-Damgård transform

#### Construction (Merkle-Damgård).

Let (**KeyGen**<sub>H</sub>,  $\mathcal{H}$ ) be a hash function, and suppose  $\mathcal{H}: \{0,1\}^{2n} \to \{0,1\}^n$ . Define a new hash function:

- KeyGen<sub>H<sub>MD</sub></sub>: same as KeyGen<sub>H</sub>;
- $\mathcal{H}_{MD}: \{0,1\}^* \to \{0,1\}^n$  defined as follows, on input x:
  - 1. assume length |x| of x is divisible by n (otherwise pad with 0s);
  - 2. split x as  $x = (x_1, x_2, \dots, x_\ell)$  and set  $x_{\ell+1} \coloneqq |x|$ .
  - 3. set  $z_0 = 0^n$ ; compute  $z_i = \mathcal{H}(x_i, z_{i-1})$ ;
  - 4. output  $z_{\ell+1}$ .



# Merkle-Damgård transform

#### **Remember:**

- critical property we needed for integrity checks...
- ... and for Hash-and-MAC...
- ... was collision-resistance!

#### What happens when we apply MD?

**Theorem**. If  $\mathcal{H}$  is a collision-free hash function, then so is its Merkle-Damgård transform  $\mathcal{H}_{MD}$ .

See book for proof. It's fairly straightforward.

#### More on hash functions:

- 1. Keyed **vs** unkeyed;
- 2. Arbitrary-length inputs **vs** fixed-length inputs;
- 3. Hash functions as random oracles.

# RANDOMORACI FS

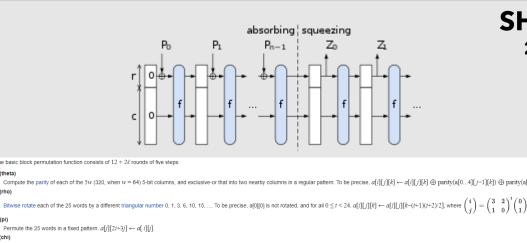
#### **Recall:**

We constructed stuff from the collision-resistant property.

But in practice, for good hash functions:

- nobody knows what to do except evaluate;
- and, when they evaluate...
- ... they can't distinguish output from random!
- (can you come up with a "security game" for this task?)

This is **much stronger** than just collision-resistance!



 ${\mathcal H}$ 

 $\boldsymbol{\chi}$ 

 $\mathcal{H}(x)$ 

 $\theta$  (theta

π (pi) γ (chi SHA3

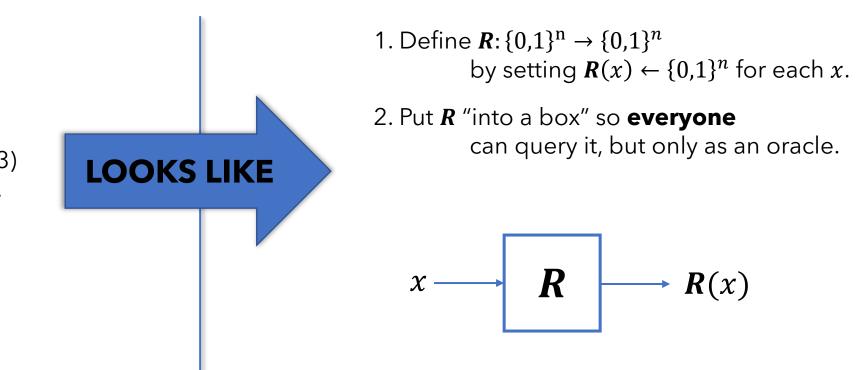
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#### So really...

It seems like hash functions behave like **random oracles!** It's **as if** someone sampled a uniformly random function **R**... ... and then put it in an oracle!

A strong hash function (like SHA-3) is developed and standardized.





#### Some caveats:

- this is just a general impression;
- for example:
- 1. A hash function is a fixed, deterministic object;
- A random oracle is drawn from a distribution of functions.
   So we should be careful!

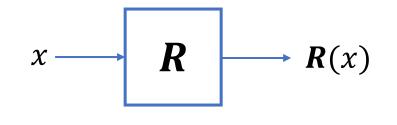
#### But what can we do in such a model?

It's called "The Random Oracle Model" (ROM.)

Let's assume that it's real. What does it get us?

1. Define  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$ by setting  $\mathbf{R}(x) \leftarrow \{0,1\}^n$  for each x.

2. Put *R* "into a box" so **everyone** can query it, but only as an oracle.



### RANDOMORACLES

#### Random Oracle Model (ROM). 1. Define **R**: $\{0,1\}^n \to \{0,1\}^n$ *n* bits by setting $\mathbf{R}(x) \leftarrow \{0,1\}^n$ for each x. A useful observation: R Suppose it is OUR job to sample **R**. How could we do it? 2. Put **R** "into a box" so **everyone** can query it, but only as an oracle. I. generate a huge lookup table with $2^n$ entries; put a random string from $\{0,1\}^n$ in each entry. R $\boldsymbol{R}(\boldsymbol{x})$ $2^n$ entries II. be lazy about it! wait until someone asks a question x...generate a random value y and output it as $\mathbf{R}(x) \coloneqq y$ . $\dots$ and store the pair (x, y) in a lookup table T. for future questions x': (i.) check if $\exists (x', y')$ in **T**.

(ii.) if yes, return y'; if no, generate fresh y' and add (x', y') to T.



#### Random Oracle Model (ROM).

#### Lazy sampling:

wait until someone asks a question x... generate a random value y and output it as  $\mathbf{R}(x) \coloneqq y$ . ... and store the pair (x, y) in a lookup table T. for future questions x': (i.) check if  $\exists (x', y')$  in T. (ii.) if yes, return y'; if no, generate fresh y' and add (x', y') to T.

#### Important takeaways:

- 1. In any ROM situation:
  - if <u>nobody</u> has asked a question *x* yet...
  - ... then R(x) is still uniformly random (and independent of everything!)
- 2. We can simulate the random oracle in reductions!

n bits  $2^n$  entries

#### Random Oracle Model (ROM).

What crypto can we build in this model?

#### **Collision-resistant hash:**

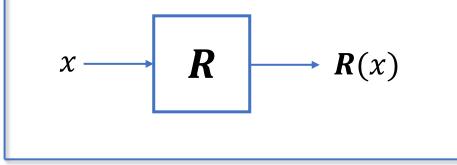
- recall: random functions are collision-resistant;
- (because preimages are uniformly distributed)
- so **R** itself serves as a collision-resistant hash;
- if we want small outputs, can discard bits of output.

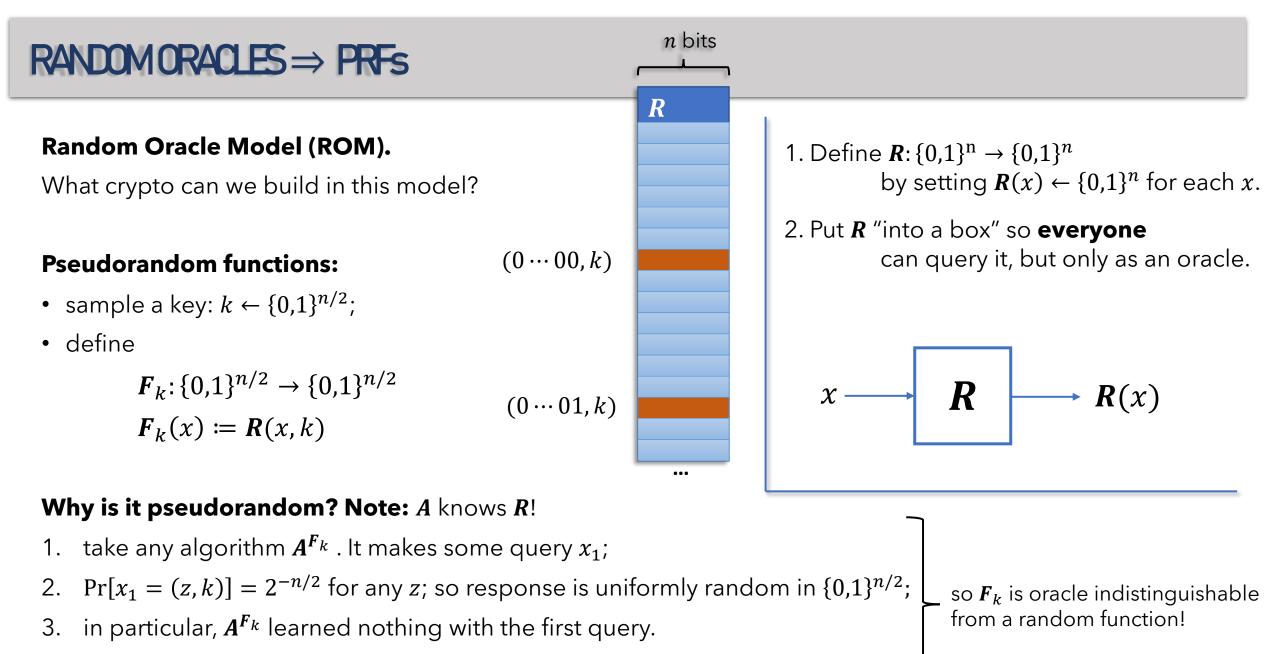
#### Note:

- this is now statistical collision-resistance;
- for normal hash functions, it was computational (i.e., against PPT adversaries.)
- remember: collision-resistant ⇒ one-way. So we also get one-way functions!

1. Define  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$ by setting  $\mathbf{R}(x) \leftarrow \{0,1\}^n$  for each x.

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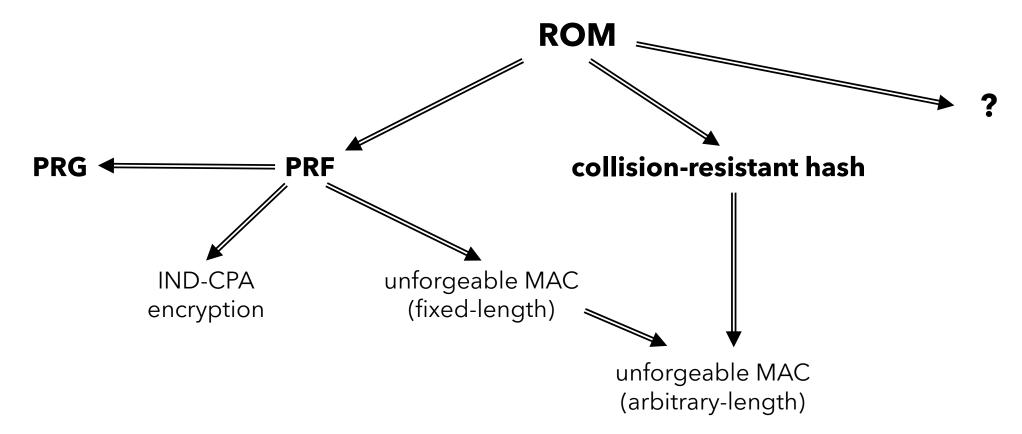


4. so we can repeat the argument starting from 1.

### $\textbf{RANDOM ORACLES} \Rightarrow \textbf{lots of stuff}$

#### Random Oracle Model (ROM).

What crypto can we build in this model?



**Lamport scheme.** One-time MAC for messages of length  $\ell$ . Let  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$  be a random oracle.

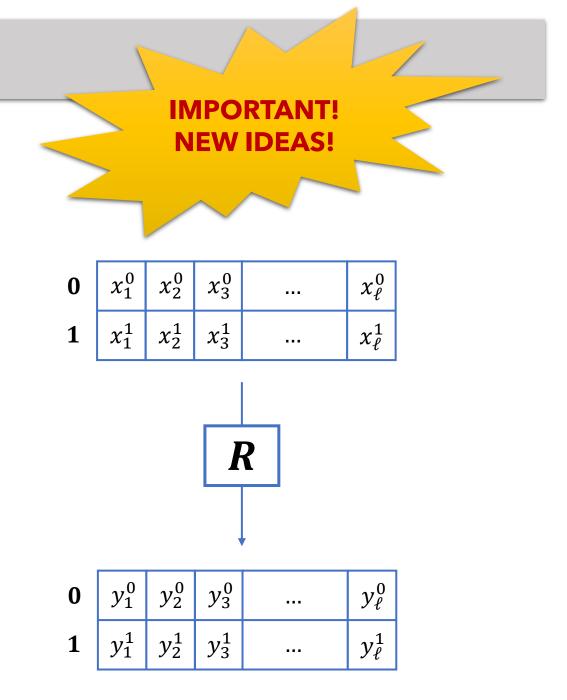
#### KeyGen:

- I. Sample 2ℓ random inputs to **R**:
- $x_1^0, x_2^0, x_3^0, \dots, x_\ell^0$ .
- $x_1^1, x_2^1, x_3^1, \dots, x_{\ell}^1$ .

Note each  $x_j^b \in \{0,1\}^n$ .

**II.** Now compute, for each *j*, *b*:  $y_j^b \coloneqq \mathbf{R}(x_j^b);$ 

**III.** Output key consisting of two parts: 1.  $x_1^0$ ,  $x_2^0$ ,  $x_3^0$ , ...,  $x_{\ell}^0$  and  $x_1^1$ ,  $x_2^1$ ,  $x_3^1$ , ...,  $x_{\ell}^1$ ; 2.  $y_1^0$ ,  $y_2^0$ ,  $y_3^0$ , ...,  $y_{\ell}^0$  and  $y_1^1$ ,  $y_2^1$ ,  $y_3^1$ , ...,  $y_{\ell}^1$ ;



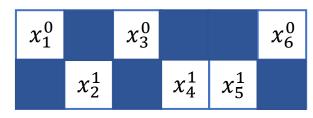
**Lamport scheme.** One-time MAC for messages of length  $\ell$ . Let  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$  be a random oracle.

#### Mac:

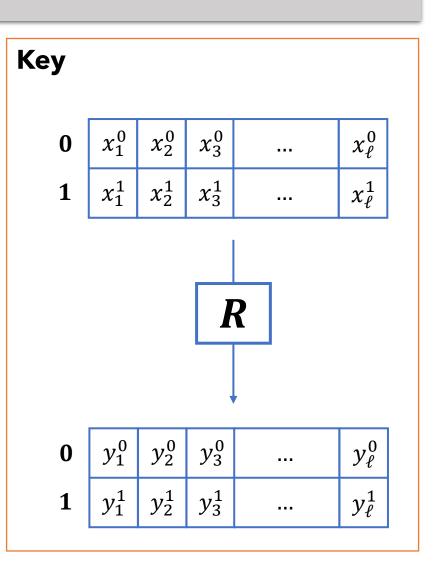
On input a message  $m \in \{0,1\}^{\ell}$ : Output tag  $t \in \{0,1\}^{n\ell}$  like this: For each bit position  $j = 1, 2, ..., \ell$ output  $x_j^{m_j}$ .

#### Example:

Suppose m = 010110.



So tag is  $(x_1^0, x_2^1, x_3^0, x_4^1, x_5^1, x_6^0)$ .

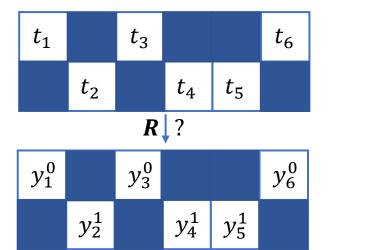


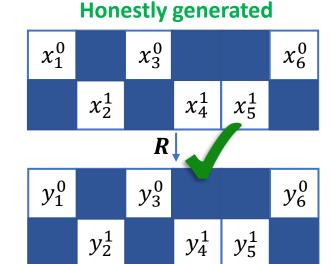
**Lamport scheme.** One-time MAC for messages of length  $\ell$ . Let  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$  be a random oracle.

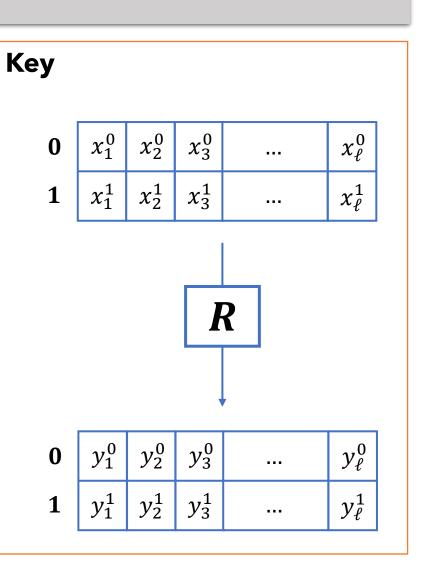
#### Ver:

On input  $m \in \{0,1\}^{\ell}$  and tag  $(t_1, t_2, ..., t_{\ell})$ : For each bit position  $j = 1, 2, ..., \ell$ : If  $\left(R(t_j) \neq y_j^{m_j}\right)$  output **reject;** output **accept.** 

**Example:** Suppose m = 010110.





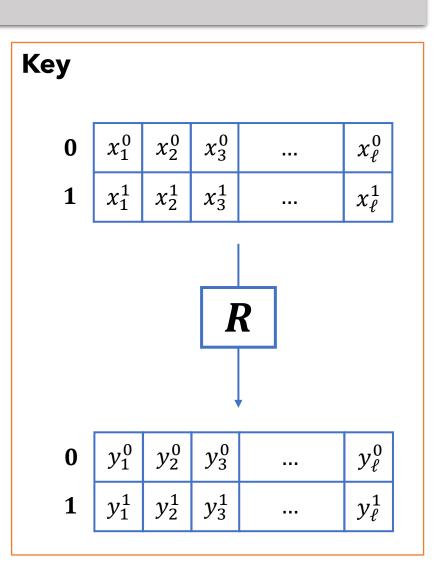


**Lamport scheme.** One-time MAC for messages of length  $\ell$ . Let  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$  be a random oracle.

#### **Check correctness:**

- for message  $m \in \{0,1\}^{\ell} \dots$
- ... tag is  $(x_1^{m_1}, x_2^{m_2}, x_3^{m_3}, ..., x_{\ell}^{m_{\ell}});$
- at the verification stage, we do this check for each *j*:
  - $\boldsymbol{R}\left(\boldsymbol{x}_{j}^{m_{j}}\right) = \boldsymbol{y}_{j}^{m_{j}}$
- but in **KeyGen** this is exactly how we defined  $y_j^b$  for  $b \in \{0,1\}$ .
- so verification succeeds.

#### So scheme is correct. Is it unforgeable?



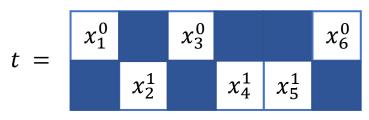
**Lamport scheme.** One-time MAC for messages of length  $\ell$ .

Let  $\mathbf{R}: \{0,1\}^n \to \{0,1\}^n$  be a random oracle.

#### So scheme is correct. Is it unforgeable?

Let's look at the adversary's view. It has two things:

 $m = m_0 m_1 m_2 \dots m_\ell$ 



Now adversary tries to forge on  $m^* \neq m$ .

There's a bit *j* where *m*<sup>\*</sup> differs from *m*. Say *j* = 2. Then...  $m^* = m_0 m_1^* m_2$  *m*<sub>2</sub>  $t^* = \begin{bmatrix} x_1^0 & x_2^0 \\ x_1^0 & x_2^0 \end{bmatrix}$  But  $x_2^0$  is random and unknown.  $x_4^* & x_5^*$ 

